RECEIVE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 1 1 2003

In re application of: Berger and Del Castillo

Art Unit: 1645

TECH CENTER 1600/2900

Application No. 09/936,702

CERTIFICATE OF MAILING

Filed: September 13, 2001

For: A NOVEL CHIMERIC PROTEIN FOR

PREVENTION AND TREATMENT OF

HIV INFECTION

Examiner: Not yet known

Date: April 4, 2003

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on April 4,2003, as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS WASHINGTON D.C. 20221.

Tanya M. Harding, Ph.D. Attorney for Applicant

TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

Enclosed for filing in the application referenced above are the following:

- Supplemental Information Disclosure Statement | Form 1449 and (3) references cited thereon
- The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- Please return the enclosed postcard to confirm that the items listed above have been received.

•

Respectfully submitted

Ву

Tanya M. Harding, Ph.D. Registration No. 42,630

KLAROUIST SPARKMAN, LLP

One World Trade Center, Suite 1600

121 S.W. Salmon Street

Portland, Oregon 97204 Telephone: (503) 226-7391

Facsimile: (503) 228-9446

cc:

Docketing

PR 0 9 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re application of: Berger and Del Castillo

Application No. 09/936,702

Filed: September 13, 2001

For: A NOVEL CHIMERIC PROTEIN FOR PREVENTION AND TREATMENT OF HIV

INFECTION

Examiner: Not yet known

Date: April 4, 2003

Art Unit: 1645

TECH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on April 4, 2003 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON,

D.C. 20231.

Tanya M. Harding, Ph.D. Attorney for Applicant

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)(3)

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

Listed on the accompanying form PTO-1449 and enclosed herewith are three Englishlanguage documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please see accompanying transmittal letter for deposit account authority.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

Ву

Yanya M. Harding, Ph.D. Registration No. 42,630

One World Trade Center, Suite 1600

121 S.W. Salmon Street Portland, Oregon 97204 Telephone: (503) 226-7391

Facsimile: (503) 228-9446